



PATENT

Attorney Docket No. 3108.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lockhart

Examiner: TBA

Serial No: 09/827,383

Group Art Unit: TBA

Filing Date: 04/05/2001

INFORMATION DISCLOSURE
STATEMENT

Title: **Tag Nucleic Acids and
Probe Arrays**

Commissioner for Patents
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application.

In an effort to make the review of these references more convenient for the Examiner, Applicants are providing the Examiner and the PTO with a compact disc (CD) with the references available for electronic viewing. The CD contains the articles and information in .pdf format and can be viewed by opening the appropriate file or by selecting the link (CTRL + click) on the 1449 form included on the CD in word format.

A prior IDS was submitted for this application on 10/22/2001, which stated that clean copies of reference materials would be provided when they became available. All necessary materials are now available and provided herewith.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been

made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicants believe that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,



Sandra Wells

Reg. No.: 52,349

Date: 8/5/2003

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Affymetrix, Inc.
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Santa Clara, CA 95051
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

Application Number	09/827,383
Filing Date	4/5/2001
First Named Inventor	Lockhart
Art Unit	
Examiner Name	
Attorney Docket Number	3108.1

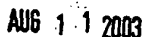
U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	4,359,353	11/16/1982	Kydd	
	AB	4,441,943	04/10/1984	Kydd	
	AC	5,451,505	09/19/1995	Dollinger	
	AD	5,654,413	08/05/1997	Brenner	
	AE	5,143,854	09/01/1992	Pirung, et al.	
	AF	5,242,979	09/07/1993	Barnum, et al.	
	AG	5,252,743	10/12/1993	Barrett, et al.	
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	AI	5,384,261	01/24/1995	Winkler, et al.	
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	AK	5,412,087	05/02/1995	McGall, et al.	
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	AP	5,489,678	02/06/1996	Fodor, et al.	
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	AR	5,510,270	04/23/1996	Fodor, et al.	
	AS	5,527,681	06/18/1996	Holmes	
	AT	5,550,215	08/27/1996	Holmes	
	AU	5,571,639	11/05/1996	Hubbell, et al.	
	AV	5,593,839	01/14/1997	Hubbell, et al.	
	AW	5,599,695	02/04/1997	Pease, et al.	
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	BA	5,744,101	04/28/1998	Fodor, et al.	
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	BD	5,753,788	05/19/1998	Fodor, et al.	
	BE	5,770,456	06/23/1998	Holmes	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/RJ

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(use as many sheets as necessary)

Sheet	2	of	4
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Application Number	09/827,383
Filing Date	4/5/2001
First Named Inventor	Lockhart
Art Unit	
Examiner Name	
Attorney Docket Number	3108.1

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Examiner
Signature

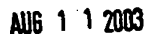
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Sheet

3

of

4

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Application Number

09/827.383

Filing Date

4/5/2001

First Named Inventor

Lockhart

Art Unit

Examiner Name

Attorney Docket Number

3108.1

FOREIGN PATENT DOCUMENTS

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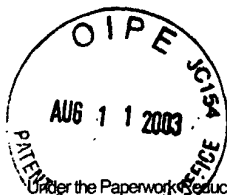
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Substitute for form 1449-PTO		Complete if Known			
		Application Number	09/827,383		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	4/5/2001		
		First Named Inventor	Lockhart		
		Group Art Unit			
		Examiner Name			
Sheet	4	of	4	Attorney Docket Number	3108.1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	<u>DOLLINGER, The Polymerase Chain Reaction, 1994, 265-274, Mullis et al., editors (Birkhauser, Boston).</u>	
	AB	<u>ALPER, Science, 1994, 1399-1401, Volume 264.</u>	
	AC	<u>NEEDELS ET AL., PNAS, 1993, 10700-10704, Volume 90.</u>	
	AD	<u>YOUNG AND DAVIS, Proc. Natl. Acad. Sci., P. 1194, 1983, U.S.A., Volume 80.</u>	
	AE	<u>BRENNER and LERNER, PNAS, 5281-5383, 1992, Volume 89.</u>	

Examiner Signature		Date Considered	
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